

**SERIAL 14116 RFP EARLY HEAD START CHILD CARE PARTNERSHIP
Contract - A Shining Star Preschool**

DATE OF LAST REVISION: June 24, 2015

CONTRACT END DATE: June 30, 2016

CONTRACT PERIOD THROUGH JUNE 30, 2016

TO: All Departments

FROM: Office of Procurement Services

SUBJECT: Contract for **EARLY HEAD START CHILD CARE PARTNERSHIP**

Attached to this letter is published an effective purchasing contract for products and/or services to be supplied to Maricopa County activities as awarded by Maricopa County on **June 24, 2015 (Eff. 06/30/15)**.

All purchases of products and/or services listed on the attached pages of this letter are to be obtained from the vendor holding the contract. Individuals are responsible to the vendor for purchases made outside of contracts. The contract period is indicated above.

Wes Baysinger, Chief Procurement Officer
Office of Procurement Services

IG/mm
Attach

Copy to: Office of Procurement Services
Alecia Jackson, Human Services



CONTRACT PURSUANT TO RFP

SERIAL 14116-RFP

This Contract is entered into this 24th day of June, 2015 by and between Maricopa County ("County"), a political subdivision of the State of Arizona, and **A Shining Star Preschool**, an Arizona corporation ("Contractor") for the purchase of Day Care services.

1.0 CONTRACT TERM:

- 1.1 This Contract is for a term of one (1) year, beginning on the 30th day of June, 2015 and ending the 30th day of June, 2016.
- 1.2 The County may, at its option and with the agreement of the Contractor, renew the term of this Contract for additional terms up to a maximum of four (4) one (1) year extensions, (or at the County's sole discretion, extend the contract on a month-to-month bases for a maximum of six (6) months after expiration). The County shall notify the Contractor in writing of its intent to extend the Contract term at least sixty (60) calendar days prior to the expiration of the original contract term, or any additional term thereafter.

2.0 PAYMENTS:

- 2.1 As consideration for performance of the duties described herein, County shall pay Contractor the sum(s) stated in Exhibit "A."
- 2.2 Payment shall be made upon the County's receipt of a properly completed invoice.

2.3 INVOICES:

2.3.1 The Contractor shall submit in a manner acceptable to the County one (1) legible copy of their detailed invoice before payment(s) can be made. At a minimum, the invoice must provide the following information:

- Company name, address and contact
- County bill-to name and contact information
- Contract Serial Number
- County purchase order number
- Invoice number and date
- Payment terms
- Contract Item number(s)
- Description of Purchase (product or services)
- Extended price
- Total Amount Due

2.3.2 Problems regarding billing or invoicing shall be directed to the County as listed on the Purchase Order.

- 2.3.3 Payment shall be made to the Contractor by Accounts Payable through the Maricopa County Vendor Express Payment Program. This is an Electronic Funds Transfer (EFT) process. After Contract Award the Contractor shall complete the Vendor Registration Form located on the County Department of Finance Vendor Registration Web Site (<http://www.maricopa.gov/Finance/Vendors.aspx>).
- 2.3.4 EFT payments to the routing and account numbers designated by the Contractor will include the details on the specific invoices that the payment covers. The Contractor is required to discuss remittance delivery capabilities with their designated financial institution for access to those details.
- 2.3.5 Securities option or retention option prior to first Application for Payment.

2.4 APPLICABLE TAXES:

- 2.4.1 **Payment of Taxes:** The Contractor shall pay all applicable taxes. With respect to any installation labor on items that are not attached to real property performed by Contractor under the terms of this Contract, the installation labor cost and the gross receipts for materials provided shall be listed separately on the Contractor's invoices.
- 2.4.2 **State and Local Transaction Privilege Taxes:** Maricopa County is subject to all applicable state and local transaction privilege taxes. To the extent any state and local transaction privilege taxes apply to sales made under the terms of this contract_it is the responsibility of the seller to collect and remit all applicable taxes to the proper taxing jurisdiction of authority.
- 2.4.3 **Tax Indemnification:** Contractor and all subcontractors shall pay all Federal, state, and local taxes applicable to its operation and any persons employed by the Contractor. Contractor shall, and require all subcontractors to hold Maricopa County harmless from any responsibility for taxes, damages and interest, if applicable, contributions required under Federal, and/or state and local laws and regulations and any other costs including transaction privilege taxes, unemployment compensation insurance, Social Security and Worker's Compensation.

2.5 TAX: (SERVICES):

No tax shall be levied against labor. It is the responsibility of the Contractor to determine any and all taxes and include the same in proposal price.

3.0 AVAILABILITY OF FUNDS:

- 3.1 The provisions of this Contract relating to payment for services shall become effective when funds assigned for the purpose of compensating the Contractor as herein provided are actually available to County for disbursement. The County shall be the sole judge and authority in determining the availability of funds under this Contract. County shall keep the Contractor fully informed as to the availability of funds.
- 3.2 If any action is taken by any state agency, Federal department or any other agency or instrumentality to suspend, decrease, or terminate its fiscal obligations under, or in connection with, this Contract, County may amend, suspend, decrease, or terminate its obligations under, or in connection with, this Contract. In the event of termination, County shall be liable for payment only for services rendered prior to the effective date of the termination, provided that such services are performed in accordance with the provisions of this Contract. County shall give written notice of the effective date of any suspension, amendment, or termination under this Section, at least ten (10) days in advance.

4.0 DUTIES:

- 4.1 The Contractor shall perform all duties stated in Exhibit "B", or as otherwise directed in writing by the Procurement Officer.

5.0 TERMS and CONDITIONS:

5.1 INDEMNIFICATION:

To the fullest extent permitted by law, and to the extent that claims, damages, losses or expenses are not covered and paid by insurance purchased by the Contractor, the Contractor shall defend indemnify and hold harmless the County (as Owner), its agents, representatives, agents, officers, directors, officials, and employees from and against all claims, damages, losses, and expenses (including, but not limited to attorneys' fees, court costs, expert witness fees, and the costs and attorneys' fees for appellate proceedings) arising out of, or alleged to have resulted from the negligent acts, errors, omissions, or mistakes relating to the performance of this Contract.

Contractor's duty to defend, indemnify, and hold harmless the County, its agents, representatives, agents, officers, directors, officials, and employees shall arise in connection with any claim, damage, loss, or expense that is attributable to bodily injury, sickness, disease, death or injury to, impairment of, or destruction of tangible property, including loss of use resulting there from, caused by negligent acts, errors, omissions, or mistakes in the performance of this Contract, but only to the extent caused by the negligent acts or omissions of the Contractor, a subcontractor, any one directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder.

5.1.1 The amount and type of insurance coverage requirements set forth herein will in no way be construed as limiting the scope of the indemnity in this paragraph.

5.1.2 The scope of this indemnification does not extend to any claim, damage, loss, or expense resulting from the sole negligence of County.

6.0 INSURANCE:

6.1 **Contractor**, shall purchase and maintain the herein stipulated minimum insurance from a company or companies duly licensed by the State of Arizona and possessing a current A.M. Best, Inc. rating of B++6. In lieu of State of Arizona licensing, the stipulated insurance may be purchased from a company or companies who are authorized to do business in the State of Arizona, provided that said insurance companies meet the approval of **County**. The form of any insurance policies and forms must be acceptable to **County**. Contractor may be reimbursed by the County for insuring Early Head Start classrooms only.

6.1.1 All insurance required herein shall be maintained in full force and effect until all work or service required to be performed under the terms of the Contract is satisfactorily completed and formally accepted. Failure to do so may, at the sole discretion of County, constitute a material breach of this Contract.

6.1.2 Contractor's insurance shall be primary insurance as respects County, and any insurance or self-insurance maintained by County shall not contribute to it.

6.1.3 Any failure to comply with the claim reporting provisions of the insurance policies or any breach of an insurance policy warranty shall not affect coverage afforded under the insurance policies to protect **County**.

6.1.4 The insurance policies may provide coverage that contains deductibles or self-insured retentions. Such deductible and/or self-insured retentions shall not be applicable with respect to the coverage provided to County under such policies. Contractor shall be solely responsible for the deductible and/or self-insured retention and County, at its option, may require Contractor to secure payment of such deductibles or self-insured retentions by a surety bond or an irrevocable and unconditional letter of credit.

- 6.1.5 County reserves the right to request and to receive, within 10 working days, certified copies of any or all of the herein required insurance certificates. County shall not be obligated to review policies and/or endorsements or to advise Contractor of any deficiencies in such policies and endorsements, and such receipt shall not relieve Contractor from, or be deemed a waiver of County's right to insist on strict fulfillment of Contractor's obligations under this Contract.
- 6.1.6 The insurance policies required by this Contract, except Workers' Compensation, shall name **County**, its agents, representatives, officers, directors, officials and employees as Additional Insured's.
- 6.1.7 The policies required hereunder, except Workers' Compensation, shall contain a waiver of transfer of rights of recovery (subrogation) against **County**, its agents, representatives, officers, directors, officials and employees for any claims arising out of **Contractor's** work or service.
- 6.1.8 The Contractor's policies shall stipulate that the insurance afforded the Contractor shall be primary insurance and that any insurance carried by the Department, its agents, officials, employees or the State of Arizona shall be excess and not contributory insurance, as provided by A.R.S. § 41-621 (E).
- 6.1.9 Coverage provided by the Contractor shall not be limited to the liability assumed under the indemnification provisions of this Contract.
- 6.1.10 Commercial General Liability:

Commercial General Liability insurance and, if necessary, Commercial Umbrella insurance with a limit of not less than \$1,000,000 for each occurrence, \$1,000,000 Products/Completed Operations Aggregate, and \$1,000,000 General Aggregate Limit. The policy shall include coverage for premises liability, bodily injury, broad form property damage, personal injury, products and completed operations and blanket contractual coverage, and shall not contain any provisions which would serve to limit third party action over claims. There shall be no endorsement or modifications of the CGL limiting the scope of coverage for liability arising from explosion, collapse, or underground property damage.
- 6.1.11 Workers' Compensation:
6.1.11.1 Workers' Compensation insurance to cover obligations imposed by federal and state statutes having jurisdiction of Contractor's employees engaged in the performance of the work or services under this Contract; and Employer's Liability insurance of not less than \$1,000,000 for each accident, \$1,000,000 disease for each employee, and \$1,000,000 disease policy limit.

6.1.11.2 Contractor waives all rights against County and its agents, officers, directors and employees for recovery of damages to the extent these damages are covered by the Workers' Compensation and Employer's Liability or commercial umbrella liability insurance obtained by Contractor pursuant to this Contract.
- 6.1.12 Sexual Molestation And Physical Abuse:

When services involve working with these groups of individuals, the insurance requirements in the contract need to be revised to include coverage for "sexual molestation and physical abuse". Coverage for this type of claim, or allegation, is excluded from standard general liability policies. Therefore, contractors whose services include working with and/or caring for children/elderly and disabled persons should have their policies specifically endorsed to include this coverage.

6.1.13 **Commercial General Liability – Occurrence Form**

Policy shall include bodily injury, property damage and broad form contractual liability coverage.

- a. **The policy shall be endorsed to include coverage for physical/sexual abuse and molestation.**
- b. The policy shall be endorsed to include the following additional insured language: "Maricopa County, its subsidiary, parent, associated and/or affiliated entities, successors, or assigns, its elected officials, trustees, employees, agents, and volunteers shall be named as additional insureds with respect to liability arising out of the activities performed by, or on behalf of the Contractor".

6.1.14 **Minimum Limits:**

General Aggregate	\$1,000,000
Products/Completed Operations Aggregate	\$1,000,000
Each Occurrence Limit	\$1,000,000
Personal/Advertising Injury	\$1,000,000
Sexual Abuse/Molestation	\$1,000,000

6.1.15 Certificates of Insurance.

6.1.15.1 Prior to Contract **Award**, Contractor shall furnish the County with valid and complete certificates of insurance, or formal endorsements as required by the Contract in the form provided by the County (**see Exhibit 2**), issued by Contractor’s insurer(s), as evidence that policies providing the required coverage, conditions and limits required by this Contract are in full force and effect. Such certificates shall identify this contract number and title.

6.1.15.2 In the event any insurance policy (ies) required by this contract is (are) written on a “claims made” basis, coverage shall extend for two years past completion and acceptance of Contractor’s work or services and as evidenced by annual Certificates of Insurance.

6.1.15.3 If a policy does expire during the life of the Contract, a renewal certificate must be sent to County fifteen (15) days prior to the expiration date.

6.1.16 Cancellation and Expiration Notice.

Insurance required herein shall not be permitted to expire, be canceled, or materially changed without thirty (30) days prior written notice to the County.

6.2 **FORCE MAJEURE:**

Neither party shall be liable for failure of performance, nor incur any liability to the other party on account of any loss or damage resulting from any delay or failure to perform all or any part of this Contract if such delay or failure is caused by events, occurrences, or causes beyond the reasonable control and without negligence of the parties. Such events, occurrences, or causes will include Acts of God/Nature (including fire, flood, earthquake, storm, hurricane or other natural disaster), war, invasion, act of foreign enemies, hostilities (whether war is declared or not), civil war, riots, rebellion, revolution, insurrection, military or usurped power or confiscation, terrorist activities, nationalization, government sanction, lockout, blockage, embargo, labor dispute, strike, interruption or failure of electricity or telecommunication service.

- 6.2.1 Each party, as applicable, shall give the other party notice of its inability to perform and particulars in reasonable detail of the cause of the inability. Each party must use best efforts to remedy the situation and remove, as soon as practicable, the cause of its inability to perform or comply.
- 6.2.2 The party asserting *Force Majeure* as a cause for non-performance shall have the burden of proving that reasonable steps were taken to minimize delay or damages caused by foreseeable events, that all non-excused obligations were substantially fulfilled, and that the other party was timely notified of the likelihood or actual occurrence which would justify such an assertion, so that other prudent precautions could be contemplated.
- 6.2.3 The County shall reserve the right to terminate this Contract and/or any applicable order or contract release purchase order upon non-performance by Contractor. The County shall reserve the right to extend the Contract and time for performance at its discretion.

6.3 REQUIREMENTS CONTRACT:

- 6.3.1 Contractors signify their understanding and agreement by signing a bid submittal, that the Contract resulting from the bid is a requirements contract. However, the Contract does not guarantee any minimum or maximum number of purchases will be made. It only indicates that if purchases are made for the materials or services contained in the Contract, they will be purchased from the Contractor awarded that item if the Contractor can meet all the delivery requirements of the County. Orders will only be placed when the County identifies a need and proper authorization and documentation have been approved.
- 6.3.2 County reserves the right to cancel Purchase Orders within a reasonable period of time after issuance. Should a Purchase Order be canceled, the County agrees to reimburse the Contractor for actual and documentable costs incurred by the Contractor in response to the Purchase Order. The County will not reimburse the Contractor for any costs incurred after receipt of County notice of cancellation, or for lost profits, shipment of product prior to issuance of Purchase Order, etc.
- 6.3.3 Contractors agree to accept verbal notification of cancellation of Purchase Orders from the County Procurement Officer with written notification to follow. By submitting a bid in response to this Invitation for Bids, the Contractor specifically acknowledges to be bound by this cancellation policy.

6.4 SUSPENSION OF WORK:

The Procurement Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Procurement Officer determines appropriate for the convenience of the County. No adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor. No request for adjustment under this clause shall be granted unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

6.5 STOP WORK ORDER:

The Procurement Officer may, at any time, by written order to the Contractor, require the Contractor to stop all, or any part, of the work called for by this contract for a period of 90 days after the order is delivered to the Contractor, and for any further period to which the parties may agree. The order shall be specifically identified as a stop work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Within a period of 90 days after a stop-work is delivered to

the Contractor, or within any extension of that period to which the parties shall have agreed, the Procurement Officer shall either—

- 6.5.1 Cancel the stop-work order; or
- 6.5.2 Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the County, clause of this contract.
- 6.5.3 The Procurement Officer may make an equitable adjustment in the delivery schedule and/or contract price, or otherwise, and the contract shall be modified, in writing, accordingly, if the Contractor demonstrates that the stop work order resulted in an increase in costs to the Contractor.

6.6 UNCONDITIONAL TERMINATION FOR CONVENIENCE:

Maricopa County may terminate the resultant Contract for convenience by providing sixty (60) calendar days advance notice to the Contractor.

6.7 TERMINATION FOR DEFAULT:

The County may, by written notice of default to the Contractor, terminate this contract in whole or in part if the Contractor fails to:

- 6.7.1 Deliver the supplies or to perform the services within the time specified in this contract or any extension;
- 6.7.2 Make progress, so as to endanger performance of this contract; or
- 6.7.3 Perform any of the other provisions of this contract.
- 6.7.4 The County's right to terminate this contract under these subparagraph may be exercised if the Contractor does not cure such failure within 10 days (or more if authorized in writing by the County) after receipt of the notice from the Procurement Officer specifying the failure.

6.8 STATUTORY RIGHT OF CANCELLATION FOR CONFLICT OF INTEREST:

Notice is given that pursuant to A.R.S. § 38-511 the County may cancel any Contract without penalty or further obligation within three years after execution of the contract, if any person significantly involved in initiating, negotiating, securing, drafting or creating the contract on behalf of the County is at any time while the Contract or any extension of the Contract is in effect, an employee or agent of any other party to the Contract in any capacity or consultant to any other party of the Contract with respect to the subject matter of the Contract. Additionally, pursuant to A.R.S § 38-511 the County may recoup any fee or commission paid or due to any person significantly involved in initiating, negotiating, securing, drafting or creating the contract on behalf of the County from any other party to the contract arising as the result of the Contract.

6.9 CONTRACTOR LICENSE REQUIREMENT:

- 6.9.1 The Respondent shall procure all permits, insurance, licenses and pay the charges and fees necessary and incidental to the lawful conduct of his/her business, and as necessary complete any required certification requirements, required by any and all governmental or non-governmental entities as mandated to maintain compliance with and in good standing for all permits and/or licenses. The Respondent shall keep fully informed of existing and future trade or industry requirements, Federal, State and Local laws, ordinances, and regulations which in any manner affect the fulfillment of a Contract and shall comply with the same. Contractor shall immediately notify both Office of Procurement Services and the using agency of any and all changes concerning permits, insurance or licenses.

6.9.2 Respondents furnishing finished products, materials or articles of merchandise that will require installation or attachment as part of the Contract, shall possess any licenses required. A Respondent is not relieved of its obligation to possess the required licenses by subcontracting of the labor portion of the Contract. Respondents are advised to contact the Arizona Registrar of Contractors, Chief of Licensing, at (602) 542-1525 to ascertain licensing requirements for a particular contract. Respondents shall identify which license(s), if any, the Registrar of Contractors requires for performance of the Contract.

6.10 SUBCONTRACTING:

6.10.1 The Contractor may not assign to another Contractor or Subcontract to another party for performance of the terms and conditions hereof without the written consent of the County. All correspondence authorizing subcontracting must reference the Bid Serial Number and identify the job project.

6.10.2 The Subcontractor's rate for the job shall not exceed that of the Prime Contractor's rate, as bid in the pricing section, unless the Prime Contractor is willing to absorb any higher rates or the County has approved the increase. The Subcontractor's invoice shall be invoiced directly to the Prime Contractor, who in turn shall pass-through the costs to the County, without mark-up. A copy of the Subcontractor's invoice must accompany the Prime Contractor's invoice.

6.11 AMENDMENTS:

All amendments to this Contract shall be in writing and approved/signed by both parties. Maricopa County Office of Procurement Services shall be responsible for approving all amendments for Maricopa County.

6.12 ADDITIONS/DELETIONS OF SERVICE:

6.12.1 The County reserves the right to add and/or delete materials and services to a Contract. If a service requirement is deleted, payment to the Contractor will be reduced proportionately, to the amount of service reduced in accordance with the bid price. If additional materials or services are required from a Contract, prices for such additions will be negotiated between the Contractor and the County.

6.12.2 The County reserves the right of final approval on proposed staff for all Task Orders. Also, upon request by the County, the Contractor will be required to remove any employees working on County projects and substitute personnel based on the discretion of the County within two business days, unless previously approved by the County.

6.13 VALIDITY:

The invalidity, in whole or in part, of any provision of this Contract shall not void or affect the validity of any other provision of the Contract.

6.14 SEVERABILITY:

The invalidity, in whole or in part, of any provision of this Contract shall not void or affect the validity of any other provision of this Contract.

6.15 RIGHTS IN DATA:

The County shall have the use of data and reports resulting from a Contract without additional cost or other restriction except as may be established by law or applicable regulation. Each party shall supply to the other party, upon request, any available information that is relevant to a Contract and to the performance thereunder.

6.16 NON-DISCRIMINATION:

Contractor agrees to comply with all provisions and requirements of Arizona Executive Order 2009-09 including flow down of all provisions and requirements to any subcontractors. Executive Order 2009-09 supersedes Executive order 99-4 and amends Executive order 75-5 and may be viewed and downloaded at the Governor of the State of Arizona's website http://www.azgovernor.gov/dms/upload/EO_2009_09.pdf which is hereby incorporated into this contract as if set forth in full herein. During the performance of this contract, Contractor shall not discriminate against any employee, client or any or any other individual in any way because of that person's age, race, creed, color, religion, sex, disability or national origin.

6.17 CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

6.17.1 The undersigned (authorized official signing for the Contractor) certifies to the best of his or her knowledge and belief, that the Contractor

6.17.1.1 is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;

6.17.1.2 have not within 3-year period preceding this Contract been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

6.17.1.3 are not presently indicted or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and

6.17.1.4 have not within a 3-year period preceding this Contract had one or more public transaction (Federal, State or local) terminated for cause of default.

6.17.2 The Contractor agrees to include, without modification, this clause in all lower tier covered transactions (i.e. transactions with subcontractors) and in all solicitations for lower tier covered transactions related to this Contract.

6.18 VERIFICATION REGARDING COMPLIANCE WITH ARIZONA REVISED STATUTES §41-4401 AND FEDERAL IMMIGRATION LAWS AND REGULATIONS:

6.18.1 By entering into the Contract, the Contractor warrants compliance with the Immigration and Nationality Act (INA using e-verify) and all other federal immigration laws and regulations related to the immigration status of its employees and A.R.S. §23-214(A). The contractor shall obtain statements from its subcontractors certifying compliance and shall furnish the statements to the Procurement Officer upon request. These warranties shall remain in effect through the term of the Contract. The Contractor and its subcontractors shall also maintain Employment Eligibility Verification forms (I-9) as required by the Immigration Reform and Control Act of 1986, as amended from time to time, for all employees performing work under the Contract and verify employee compliance using the E-verify system and shall keep a record of the verification for the duration of the employee's employment or at least three years, whichever is longer. I-9 forms are available for download at USCIS.GOV.

6.18.2 The County retains the legal right to inspect contractor and subcontractor employee documents performing work under this Contract to verify compliance with paragraph 6.18.1 of this Section. Contractor and subcontractor shall be given reasonable notice of the County's intent to inspect and shall make the documents available at the time and date

specified. Should the County suspect or find that the Contractor or any of its subcontractors are not in compliance, the County will consider this a material breach of the contract and may pursue any and all remedies allowed by law, including, but not limited to: suspension of work, termination of the Contract for default, and suspension and/or debarment of the Contractor. All costs necessary to verify compliance are the responsibility of the Contractor.

6.19 INFLUENCE

As prescribed in MC1-1202 of the Maricopa County Procurement Code, any effort to influence an employee or agent to breach the Maricopa County Ethical Code of Conduct or any ethical conduct may be grounds for Disbarment or Suspension under MC1-902.

An attempt to influence includes, but is not limited to:

- 6.19.1 A Person offering or providing a gratuity, gift, tip, present, donation, money, entertainment or educational passes or tickets, or any type valuable contribution or subsidy,
- 6.19.2 That is offered or given with the intent to influence a decision, obtain a contract, garner favorable treatment, or gain favorable consideration of any kind.

If a Person attempts to influence any employee or agent of Maricopa County, the Chief Procurement Officer, or his designee, reserves the right to seek any remedy provided by the Maricopa County Procurement Code, any remedy in equity or in the law, or any remedy provided by this contract.

6.20 CONTRACTOR EMPLOYEE WHISTLEBLOWER RIGHTS AND REQUIREMENT TO INFORM EMPLOYEES OF WHISTLERBLOWER RIGHTS.

6.20.1 The Parties agree that this Contract and employees working on this Contract will be subject to the whistleblower rights and remedies in the pilot program on contractor employee whistleblower protections established at 41 U.S.C. § 4712 by section 828 of the National Defense Authorization Act for Fiscal Year 2013 (Pub. L. 112–239) and section 3.908 of the Federal Acquisition Regulation;

6.20.2 Contractor shall inform its employees in writing, in the predominant language of the workforce, of employee whistleblower rights and protections under 41 U.S.C. § 4712, as described in section 3.908 of the Federal Acquisition Regulation. Documentation of such employee notification must be kept on file by Contractor and copies provided to County upon request.

6.20.3 Contractor shall insert the substance of this clause, including this paragraph (c), in all subcontracts over the simplified acquisition threshold (\$150,000 as of September 2013).

6.21 ACCESS TO AND RETENTION OF RECORDS FOR THE PURPOSE OF AUDIT AND/OR OTHER REVIEW:

6.21.1 In accordance with section MCI 371 of the Maricopa County Procurement Code the Contractor agrees to retain all books, records, accounts, statements, reports, files, and other records and back-up documentation relevant to this Contract for six (6) years after final payment or until after the resolution of any audit questions which could be more than six (6) years, whichever is latest. The County, Federal or State auditors and any other persons duly authorized by the Department shall have full access to, and the right to examine, copy and make use of, any and all said materials.

6.21.2 If the Contractor's books, records , accounts, statements, reports, files, and other records and back-up documentation relevant to this Contract are not sufficient to support and document that requested services were provided, the Contractor shall reimburse Maricopa County for the services not so adequately supported and documented.

6.21.3 If at any time it is determined by the County that a cost for which payment has been made is a disallowed cost, the County shall notify the Contractor in writing of the disallowance. The course of action to address the disallowance shall be at sole discretion of the County, and may include either an adjustment to future invoices, request for credit, request for a check or deduction from current billings Submitted by the Contractor by the amount of the disallowance, or to require reimbursement forthwith of the disallowed amount by the Contractor by issuing a check payable to Maricopa County.

6.22 **AUDIT DISALLOWANCES:**

If at any time, County determines that a cost for which payment has been made is a disallowed cost, such as overpayment, County shall notify the Contractor in writing of the disallowance. County shall also state the means of correction, which may be but shall not be limited to adjustment of any future claim submitted by the Contractor by the amount of the disallowance, or to require repayment of the disallowed amount by the Contractor.

6.23 **OFFSET FOR DAMAGES;**

In addition to all other remedies at Law or Equity, the County may offset from any money due to the Contractor any amounts Contractor owes to the County for damages resulting from breach or deficiencies in performance of the contract.

6.24 **PUBLIC RECORDS:**

Under Arizona law, all Offers submitted and opened are public records and must be retained by the Records Manager at the Office of Procurement Services. Offers shall be open to public inspection and copying after Contract award and execution, except for such Offers or sections thereof determined to contain proprietary or confidential information. by the Office of Procurement Services. If an Offeror believes that information in its Offer or any resulting Contract should not be released in response to a public record request under Arizona law, the Offeror shall indicate the specific information deemed confidential or proprietary and submit a statement with its offer detailing the reasons that the information should not be disclosed. Such reasons shall include the specific harm or prejudice which may arise from disclosure. The Records Manager of the Office of Procurement Services shall determine whether the identified information is confidential pursuant to the Maricopa County Procurement Code.

6.25 **PRICES:**

Contractor warrants that prices extended to County under this Contract are no higher than those paid by any other customer for these or similar services.

6.26 **INTEGRATION:**

This Contract represents the entire and integrated agreement between the parties and supersedes all prior negotiations, proposals, communications, understandings, representations, or agreements, whether oral or written, express or implied.

6.27 **RELATIONSHIPS:**

In the performance of the services described herein, the Contractor shall act solely as an independent contractor, and nothing herein or implied herein shall at any time be construed as to create the relationship of employer and employee, co-employee, partnership, principal and agent, or joint venture between the County and the Contractor.

6.28 GOVERNING LAW:

This Contract shall be governed by the laws of the state of Arizona. Venue for any actions or lawsuits involving this Contract will be in Maricopa County Superior Court or in the United States District Court for the District of Arizona, sitting in Phoenix, Arizona

6.29 ORDER OF PRECEDENCE:

In the event of a conflict in the provisions of this Contract and Contractor's license agreement, if applicable, the terms of this Contract shall prevail.

6.30 INCORPORATION OF DOCUMENTS:

The following are to be attached to and made part of this Contract:

6.30.1 Exhibit A, Guidelines For Developing A Child Care Reimbursement Budget Plan

6.30.2 Exhibit B, Scope of Work;

6.30.3 Exhibit C, Early Head Start Child Care Partnership Checklist

NOTICES:

All notices given pursuant to the terms of this Contract shall be addressed to:

For County:

Maricopa County
Office of Procurement Services
ATTN: Contract Administration
320 West Lincoln Street
Phoenix, Arizona 85003-2494

For Contractor:

A Shining Star Preschool
810 E Southern Ave
Mesa, AZ 85204
(480)-890-2331

IN WITNESS WHEREOF, this Contract is executed on the date set forth above.

CONTRACTOR

AUTHORIZED SIGNATURE

PRINTED NAME AND TITLE

ADDRESS

DATE

MARICOPA COUNTY

CHAIRMAN, BOARD OF SUPERVISORS

DATE

ATTESTED:

CLERK OF THE BOARD

DATE

APPROVED AS TO FORM:

LEGAL COUNSEL

DATE

EXHIBIT A

GUIDELINES FOR DEVELOPING A CHILD CARE REIMBURSEMENT BUDGET PLAN

Category	Description Detail	Projected Expense
Personnel	Salary for 2 Full time caregivers and .25 of a floater paid at \$13.00	\$53,760
Fringe Benefits	Based on 25% of salaries for employment taxes and insurance	\$13,607
Supplies	Including Consumables, office supplies and replacement of classroom furniture and playground items.	\$34,179
Other <i>(Administrative Costs)</i>	Allocated portion of center's insurance, lease payment, directors time, licensure fees	\$8,000
	TOTAL EXPENSE (must not exceed)	\$109,546
Category	Description Detail	Projected Income
Income <i>(State funded Reimbursement)</i>	CACFP reimbursement of \$863 per month and \$1,064 DES subsidies per month based on two children in each classroom receiving subsidies and all children eligible for CACFP	\$39,114.48

EXHIBIT B**SCOPE OF WORK**

ASP specializes in children from birth to kindergarten years. We also provide a fun and safe place for children's before and after school experience. These children are between the ages of 5 to 12. ASP was founded to offer children a safe and a secure environment. We want all children to feel important. ASP was established to give the community options of experiencing teachers who know how to work with infants, toddlers, and preschoolers. We believe children learn best when activities and materials are individually designed for them. The emergence of the curriculum, teaching strategies, and environment reflect research-based knowledge that is developmentally appropriate. ASP program is unique because it is based on the strengths, interests, and needs, culture and home environment of each child our program.

In addition we offer:

- Best Practices that focus on child development that promotes social, physical and academic growth.
- Programs, which are self-paced and individualized for child's ability.
- Emergent Curriculum
- Teachers have extensive experience in working with children.
- A nice, clean and beautiful facility with safe equipment.

The school is equipped with Internet access and all teachers have access to a teacher's computer located in the office. Additionally, there is an iPad available for teachers to use at all times. This allows teachers to print from any classroom, take pictures and send reports. Our Internet service provider is Century Link.

ASP has an infant room with the capacity of 11 children as indicated by the state requirements of 35 square feet per child. The infant program is focused on "new born to age" which promotes brain development in infants. We also meet all the needs of the infant by providing continuity of care in the nursery. The infant room is staffed with teachers that know, understand and care for the children they are serving. The infant room is at the highest quality and in a program with Deb Curtis and First Things First. The learning environment nurtures the emotional, social, language and cognitive development of every infant in the room.

In the nursery, we teach the infants about choices. The infants have the opportunity to play and explore for the majority of the day. Each infant gets "tummy time" and they are loved and cared for individually by caregivers that provide positive, consistent relationships. We are always doing things to improve the center. Currently we are installing an outside playground for the infants with a private fenced area, grass and toys. ASP will be able to meet the head start quality standards in the nursery because the program is already in good condition and has educated and experienced staff.

ASP One's Room

ASP one's room is licensed by the state of Arizona for 11 one-year-olds as indicated by the state requirements of 35 square feet per child. This classroom has received a 4 star rating by FTF. This classroom is properly furnished and has developmentally appropriate toys located inside and outside.

The learning centers that the infants experience are library, dramatic play, soft blocks, arts & crafts, music and science. ASP staff has strived to meet high standards in the quality first program and we are confident that we can meet head start quality standards.

ASP Two's Room

ASP has a wonderful classroom that is devoted to our two-year-olds.

We encourage our two-year-olds to learn and explore by allowing them to use their whole bodies. Here, children can use their muscles and bodies for crawling, climbing, jumping, dancing, and playing games. We provide opportunities that promote cognitive, physical, social-emotional, and language development. We do this inside and outside the classroom with the learning centers that encourage choices.

The learning centers in the two's classroom are: dramatic play, active play, blocks and Legos, science center, writing center, arts & crafts, and listening centers. In the learning centers, the children are able to experience sensory exploration and creative expression. We encourage children to experiment with open-ended materials such as crayons, paint, water, sand, and modeling dough. The learning centers are all developmentally appropriate for each stage of a two-year-old. Every child's development is important and ASP offers an environment that allows children to grow and learn at their own pace, and explore their own interests. Activities are designed to address

individual needs and abilities of every child. We focus on teaching the children about choices. We implement this by allowing children to choose which centers they want to work in.

We put a lot of emphasis on social skills development and potty training. The children of ASP are engaged in many different preschool activities such as problem solving, communication, early reading, writing, math, and music. We are confident with the education level of the management staff along with our commitment to the two-year-olds that we can meet the quality standards. We are giving our two-year-olds quality educational experiences and will continue to do so in the future with the help from this program.

ASP Three's Room

ASP has a three's program designed with the three-year-olds in mind. We give our three-year-olds a chance to learn through exploration and make their own choices. We provide a nurturing environment that fosters the development of children through learning centers, educational experiences and activities that encourage learning through hands-on experience. When children are given the opportunity to have choices, experimentation is encouraged. The children at ASP are watched closely by their teachers. The teachers offer ideas on extending opportunities for children's play and challenge children at their own level and interests. For example, if a child is looking at the grass plants on the windowsill, the teacher might ask, "Why do you think some of the plants are taller than others?" ASP teachers promote language and literacy by reading stories aloud. They encourage children to talk about their work and create a rich classroom environment with different examples. They help children turn their ideas and artwork into books. They make children talk to one another and engage in meaningful conversations about topics of interest. A variety of art materials such as markers, crayons, paint, and colored papers are readily accessible to the children. Teachers invite children to express their feelings and ideas in the writing centers. The three's room has several learning centers including dramatic play, music center, blocks and Legos, library science and writing center. We believe that with the help of this program we will be able to provide quality educational experiences for our three-year-olds.

Host Parent Meetings

ASP parent meetings are conducted in the large classroom located in the front of the building. This environment is perfect for hosting meetings because it is of adequate size, has ample electrical sockets, internet access and a lot of space so that the parents can be actively involved in the meeting.

Classroom Sizes are as follows:

Infant Room is 411 sqft with 55 square feet per child

One's Room is 411 sqft with 55 square feet per child

Two's Room is 578 sqft with 35 square feet per child

Pre-K Room is 578 sqft with 35 square feet per child

Community Served

ASP is located in Mesa, Arizona in a low-income community. About 95% of the children that ASP serves receive a subsidy. The subsidy agencies that we work with are Department of Economic Security Childcare, CPS awarded through DES, Quality First Things First Scholarships, and Salt River Tribal Scholarship subsidy. All agencies that we are currently contracted with are in good standing. We are on the federal food program and 90% of the children at our facility have free lunch. We serve a low-income population in Mesa extending from Broadway to Baseline road and from Country Club to Gilbert road. We also serve some children from Tempe, Gilbert, Queen Creek and Phoenix area communities.

Demographics of children served

We serve 100 children at ASP altogether. The demographics of these children include 20% Black or African American, 60% Hispanic, 18% White, and 2% Asians or Pacific Islanders.

Outreach

Experience in successfully reaching out to and serving low-income children and their families including the homeless, foster children, disabled

Since the beginning of ASP, we have been successful in serving low-income children and their families. We currently have enrolled children who are from foster care, homeless, disabled, and those with Autism/ADHD and other special needs. A huge percentage of the children we serve are on Temporary Assistance for needy families (TANF) and food stamps.

The owner of ASP will be completing her Master's degree in May 2015 from ASU in Autism Spectrum Disorders. This degree is something she decided to obtain because of the amount of Autistic Children in the center and because of her 9-year-old son with Autism. She received hand-on experience during her internship and has extensive

training in ABA- Applied Behavioral Analysis, Parent Family Relationships, Individualized Education Program (IEP), and making "Individual Service Plan". The owner has a host of resources and references at hand because of her educational background and established networks with the Autism community.

Arizona Department of Health Services Office of Childcare Licensure (AZ DHS)

We have a positive relationship with AZDHS at ASP Preschool since 2008 when we were initially licensed. Our current surveyor is Angie Peranitti.

We have a positive relationship with AZDES since 2008 and currently have an open active contract with them.

We have a positive relationship with the CACFP and are contracted with them since 2008. We are in good standing.

First Thing First Quality First

We have an excellent partnership with Quality First. We have excellent assessments and actively participate in additional programs and training they offer. We are graduates from the Strand a Hand Project and currently participate in the Early Matters Program with Deb Curtis. Deb Curtis has been in the field of early childhood education for more than thirty years. The Early Learning Matters program involves growing reflective practices among teachers. This involves spending time with children and their teachers to observe closely, collect the details that capture their hearts and minds, and then study together the complex process of teaching and learning. This program is transforming the classroom by giving the teachers a powerful professional development tool for rich collaboration, thinking and learning. This tool helps strengthen the bonds between teachers and children.

ASP is not accredited at this time with NAEYC, NAC or CDA however; upon receipt of this grant we will start the accreditation process to ensure compliance if needed.

Organizational Capacity

ASP is a licensed childcare facility that is fiscally responsible and viable. The owners and management staff of ASP have over 20 years of experience collectively. In addition, many of the staff members at ASP have college degrees. These include CDA, bachelor's degree in Business and in May of 2015 we will be adding a degree in Autism Spectrum Disorders to educational qualifications. ASP has mastered using the resources at hand. We have achieved this by being actively involved within the community by attending events and participating in programs to ensure children benefit by quality childcare.

All newly hired to ASP receive an individual orientation, which consists of personalized information specific to their classroom, center rules and policies, all programs that we are a part of, and requirements of each program. We also discuss all the different agencies we work with and the rules and regulations for each agency. Additionally, we also explain many practices of the school by showing videos for hand washing and other sanitary procedures. The orientation consists of verbal, written, and actual practice to ensure that the learning style of the teacher is met. This orientation is very detailed and extensive. After orientation the information is documented.

Monthly staff trainings occur at ASP and sometimes outside trainers are brought to the staff meeting to train teachers of ASP with highest level of quality. Owner is continuously evaluating the program to ensure training in proper areas is being met.

ASP meets and maintains health and safety requirements of the faculty and maintains compliance as required by AZDHS. In addition, we have a risk-management plan and practice safety drills on a monthly basis. The school is equipped with devices to communicate internally and these practices occur daily

Hours of Operation

ASP's hours of operation are Monday through Friday from 5:30am to 7:00pm.

Upon receipt of the grant we are willing to adjust our hours of operation if needed to meet program requirements.

Staffing ratios of classroom that serve children birth to age three.

Current Ratios at ASP are the ratios set by the AZDHS

Nursery 1:5

One's 1:6

Two's 1:8

Three's 1:13

Upon receipt of this grant we will adjust the ratios to meet program requirements

At ASP, we use creative curriculum which is an "Evidenced based curriculum". We implemented this curriculum three years ago and had great success with it so far. We believe in "Evidence-based curriculum" and we have teachers and administration that ensure its implementation. We believe children benefit when teachers engage in stimulating interactions that support learning. The curriculum allows our teachers to engage children to acquire new knowledge and skills. Children are also given an opportunity to elicit verbal responses and have meaningful reactions. This allows an environment that fosters engagement and enjoyment in learning

ASP requires constant supervision of the children as required by AZDHS and Quality First. Children who enter the facility are greeted and welcomed into the classroom and children who depart are given to their parents. If a child for any reason needs to change classroom, he or she is physically escorted to the classroom. All classrooms document child's entry and departure along with the continuous journals. When children enter the restrooms they are monitored. Children are never left alone at ASP for any reason. On the playground, children are always in line of sight. Teachers are continuously being monitored by management staff to ensure this practice is met and a video system is in place as a back up to ensure this is happening.

We believe effective supervision reduces the risk of harm to children by preventing injuries and accidents. Effective supervision also promotes positive and responsive learning environments for children. This allows the caregiver of the classroom to be involved and familiar with the children.

To ensure effectiveness teachers are required to be constantly aware of the physical environment they are in. Teachers and administration is required to conduct regular safety checks of the program premises and equipment to remove hazards.

- We have position equipment and arrange the environment such that easy line of sight supervision is possible for children's play, rest, and toilet areas.
- The office assists with the supervision by knowing who is on the premises at all times and who is authorized to pick-up a child from the program in place of a parent.
- We maintain supervision by monitoring children's arrival and departures, and ensuring that both arrival and departure times are accurately recorded.
- In addition it is everyone's job to ensure children are monitored at all times

Upon Award of Contract:

Since this facility is in excellent shape, with required agreements in place, and serving children with DES subsidy, FTF subsidy, and already serving children with subsidy, we feel that can be in full compliance in a 12-month-period with including a 3 star rating that will occur at the next assessment. If EHS requires additional items then the timeline may need to be adjusted.

Month 1:

- A Review of Contract Requirements and Compliance Concerns will be conducted.
- We will implement the current plan of action. If any items can be accelerated to an earlier date, we will do so to comply with the program.
- ASP has several staff with educational experiences in early childhood. We also have staff ASP would like to take the 12 month period to get staff that is capable of meeting CDA requirements the opportunity to obtain a CDA. We have several staff members that are excellent childcare professionals capable of meeting the CDA requirements. Upon receiving the contract, we would like to have the staff interested in obtaining CDA to be given a chance to comply with the requirements through education.
- In the last few years, ASP is eagerly seeking early childhood professionals with higher education as required by FTF. We have discovered that developing a training system and providing educational opportunities is a good way to help our field produce quality staff.
- Upon award of the contract, ASP will begin to give notice and shift school age programs to a sister site down the street. This will allow all five classrooms to focus on early head start. Due to the fact the sister site is located close by and is double the size of ASP, they have room to accommodate currently enrolled children at ASP. We feel turning ASP into a specialized early childhood center would give young children more opportunities than ever possible.

- Upon award of this contract ASP would transition to having all ECE classrooms.

<i>Number of Classrooms</i>	<i>Age</i>	<i>EHS Ratio</i>	<i>Room Capacity</i>	<i>Square Footage Per Classroom</i>
1	Infants	1:4	8	411
1	One's	1:4	8	411
1	Two's	1:4	8	576
2	Three's	1:4	8	818

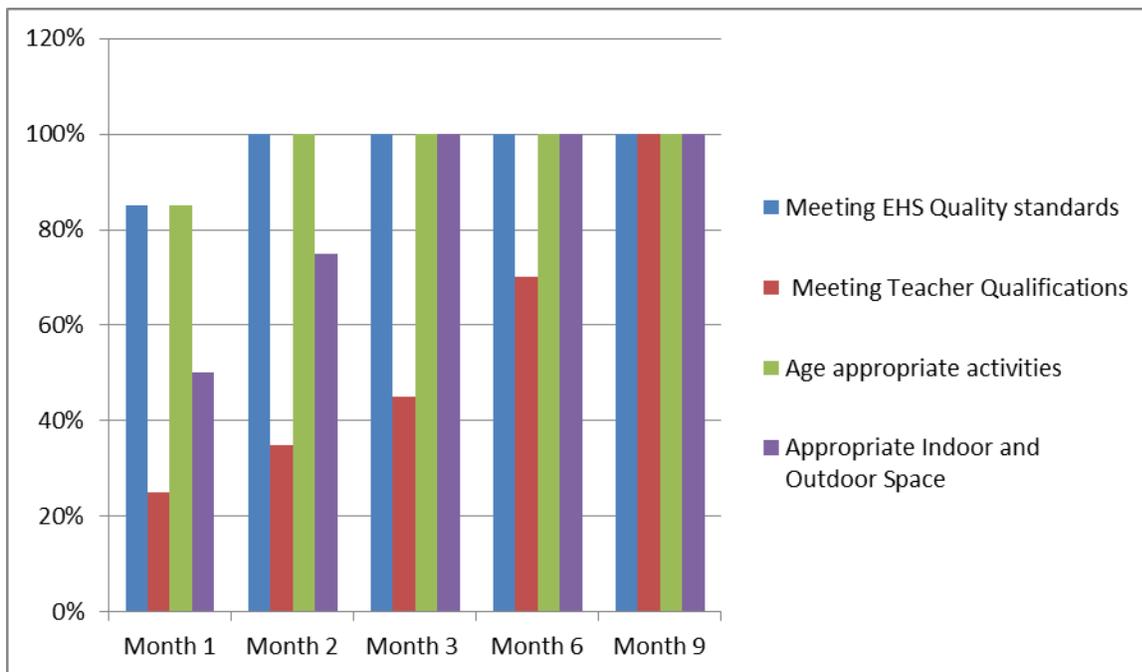
Hours of Operation

- Hours of operation will be adjusted at ASP to accommodate the needs of the EHS community families we serve. ASP is currently open 5:30 am -7:00pm. We will work with EHS program and the families of children to ensure the hours of operation accommodate the needs of the parents and the community.
- Marketing will be in place to ensure children are given opportunity to experience Early Head Start.
- Site will come into compliance with the requirements outlined by the grant and working in close relationship with the Maricopa County. We feel the site meets EHC requirements.
- All Areas of concern will be written into a 12-month action plan if any issues are found we will work to resolve them with a change in the initial time frame.
- Bids will be obtained for any and all work, including the playground. We feel that the playground will have to be renovated in order to obtain EHS quality standards
- Training will be occurring to ensure 3 star quality rating.

Month One:

EHS ratios would occur at the beginning of the program. Notices of moving will be distributed to the parents 30 days prior to the date of the contract.

- Order new equipment for the new three's room



- Serving Children with subsidy 100% from month one

Month 2:

- Site improvements begin.
- Playground renovation occurs to meet quality standards.

- All newly hired teachers will be undergoing orientations and trainings to ensure all employment qualifications are met.
- Phone lines will be installed in every classroom.
- Computers will be placed in every classroom.
- Curriculum and other operational needs will be installed in classrooms.
- All current staff will work on educational requirements.
- Any open Head Start positions will be filled based on marketing attempts or any other county requirements.
- Administration will be continuously evaluating the program, mastering requirements and coming up with systematic plans.
- Training and site improvement will be occurring.
- We will order all of the equipment needed.
- Phase 2 of any required site improvements will occur.
- Evaluation of program thus far will be conducted to see if there is any improvement we can make. Training will be occurring to ensure 3 star quality rating.
- Install new equipment for the new three's room.

Month 3

- Open new three's room.
- All required site improvements should be complete.
- All purchases and improvements will be complete.
- Teachers will continue training.

Month 4

- Teachers will continue educational training until complete.
- Mastery of all program requirements is expected.
- Training will be occurring to ensure 3 star quality rating.
- Mock assessments will be conducted.
- All reports will be submitted.
- If any concerns are found, they will be addressed and new time frames will be given.

Months 5-12

- Educational training will continue
- Mock Assessments will occur to ensure high quality rating.
- Monthly assessment of program will occur by management to ensure 100% compliance of all areas.

EXHIBIT C

EARLY HEAD START CHILD CARE PARTNERSHIP CHECKLIST

EARLY HEAD START CHILD CARE PARTNERSHIP		
CHECKLIST		
Name of Organization:	A Shining Star Preschool	
ADHS License #	CDC13240	
DES License #	P001856601	
Do you participate in First Things First Quality First	Yes	
Do you have a quality rating?	Yes	
If yes, what is your star quality rating?	219	
Do you have any other national quality accreditation		No
If yes, what is it?		
Do you have a history of serving children with subsidies?	Yes	
If yes, how many children did you serve during the last 6 months?	100+	
List your current insurance policy and level of coverage:		
General operational liability	\$1,000,000	
Automobile	\$1,000,000	
Physical/sexual abuse and molestation	\$1,000,000	
Workman's Compensation	\$1,000,000	
Are you using evidence-based curriculum	Yes	
If yes, what curriculum do you use?	ASQ	
Are you doing any child development screenings and assessments?	Yes	
If yes, what tools do you use?	15	
Do you serve children with disabilities?	Yes	
If yes, how many children did you serve during the last 6 months?		
What is your experience with CACFP?	Currently participating. Its been a positive experience	

A SHINING STAR PRESCHOOL, 914 E VALENCIA DRIVE, PHOENIX, AZ 85042

PRICING SHEET: NIGP CODE 95225

Terms:	NET 30
Vendor Number:	2011006121 0
Certificates of Insurance	Required
Contract Period:	To cover the period ending June 30, 2016.