

**SERIAL 11053 RFP INMATE ANGER MANAGEMENT GROUPS - MCSO**  
**Contract – Concepts for Change**

**DATE OF LAST REVISION: September 11, 2014 CONTRACT END DATE: September 30, 2017**

**CONTRACT PERIOD THROUGH SEPTEMBER 30, ~~2014~~ 2017**

TO: All Departments  
FROM: **Office of Procurement Services**  
SUBJECT: Contract for **INMATE ANGER MANAGEMENT GROUPS - MCSO**

Attached to this letter is published an effective purchasing contract for products and/or services to be supplied to Maricopa County activities as awarded by Maricopa County on **September 28, 2011 (Eff. 10/01/11)**.

All purchases of products and/or services listed on the attached pages of this letter are to be obtained from the vendor holding the contract. Individuals are responsible to the vendor for purchases made outside of contracts. The contract period is indicated above.

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Wes Baysinger, Chief Procurement Officer  
**Office of Procurement Services**

AS/mm  
Attach

Copy to: **Office of Procurement Services**  
Sue Malinowsky, Sheriff's Office

(Please remove Serial 05084-RFP from your contract notebooks)



## CONTRACT PURSUANT TO RFP

**SERIAL 11053-RFP**

This Contract is entered into this 28th day of September, 2011 by and between Maricopa County ("County"), a political subdivision of the State of Arizona, and Concepts For Change, Inc., an Arizona corporation ("Contractor") for the purchase of educational services for Anger Management services.

### 1.0 CONTRACT TERM:

- 1.1 This Contract is for a term of three (3) years, beginning on the 1st day of October, 2011 and ending the 30th day of September, ~~2014~~ **2017**.
- 1.2 The County may, at its option and with the agreement of the Contractor, renew the term of this Contract for additional terms up to a maximum of three (3) years, (or at the County's sole discretion, extend the contract on a month-to-month bases for a maximum of six (6) months after expiration). The County shall notify the Contractor in writing of its intent to extend the Contract term at least thirty (30) calendar days prior to the expiration of the original contract term, or any additional term thereafter.

### 2.0 FEE ADJUSTMENTS:

Any request for a fee adjustments must be submitted sixty (60) days prior to the current Contract expiration date. Requests for adjustment in cost of labor and/or materials must be supported by appropriate documentation. If County agrees to the adjusted fee, County shall issue written approval of the change. The reasonableness of the request will be determined by comparing the request with the (Consumer Price Index) or by performing a market survey.

### 3.0 PAYMENTS:

- 3.1 As consideration for performance of the duties described herein, County shall pay Contractor the sum(s) stated in Final Pricing, attached hereto and incorporated herein as Exhibit "A." Payment shall be made as set forth in the RFP and/or the Best and Final Offer.
- 3.2 Payment under this Contract shall be made in the manner provided by law. Invoices shall be prepared and submitted in accordance with the instructions provided on the purchase order. Payment shall be made upon the County's receipt of a properly completed invoice.
- 3.3 INVOICES:
  - 3.3.1 The Contractor shall submit two (2) legible copies of their detailed invoice before payment(s) can be made. At a minimum, the invoice must provide the following information:

- Company name, address and contact
- County bill-to name and contact information
- Contract serial number
- County purchase order number

- Invoice number and date
- Payment terms
- Date of service
- Contract Item number(s)
- Description of Services
- Pricing per unit of service
- Extended price
- Total Amount Due

3.3.2 Problems regarding billing or invoicing shall be directed to the County as listed on the Purchase Order.

3.3.3 Payment shall be made to the Contractor by Accounts Payable through the Maricopa County Vendor Express Payment Program. This is an Electronic Funds Transfer (EFT) process. After Contract Award the Contractor shall complete the Vendor Registration Form located on the County Department of Finance Vendor Registration Web Site ([www.maricopa.gov/finance/vendors](http://www.maricopa.gov/finance/vendors)).

3.3.4 EFT payments to the routing and account numbers designated by the Contractor will include the details on the specific invoices that the payment covers. The Contractor is required to discuss remittance delivery capabilities with their designated financial institution for access to those details.

3.4 **STRATEGIC ALLIANCE for VOLUME EXPENDITURES (\$AVE):**

3.4.1 **The County is a member of the \$AVE cooperative purchasing group. \$AVE includes the State of Arizona, many Phoenix metropolitan area municipalities, and many K-12 unified school districts. Under the \$AVE Cooperative Purchasing Agreement, and with the concurrence of the successful Respondent under this solicitation, a member of \$AVE may access a contract resulting from a solicitation issued by the County. If you do not want to grant such access to a member of \$AVE, please so state in your proposal. In the absence of a statement to the contrary, the County will assume that you do wish to grant access to any contract that may result from this Request for Proposal.**

3.5 **INTERGOVERNMENTAL COOPERATIVE PURCHASING AGREEMENTS (ICPA's)**

3.5.1 **County currently holds ICPA's with numerous governmental entities throughout the State of Arizona. These agreements allow those entities, with the approval of the Contractor, to purchase their requirements under the terms and conditions of the County Contract. Please indicate on Attachment A, your acceptance or rejection regarding such participation of other governmental entities. Your response will not be considered as an evaluation factor in awarding a contract**

4.0 **AVAILABILITY OF FUNDS:**

4.1 The provisions of this Contract relating to payment for services shall become effective when funds assigned for the purpose of compensating the Contractor as herein provided are actually available to County for disbursement. The County shall be the sole judge and authority in determining the availability of funds under this Contract. County shall keep the Contractor fully informed as to the availability of funds.

4.2 If any action is taken by any state agency, Federal department or any other agency or instrumentality to suspend, decrease, or terminate its fiscal obligations under, or in connection with, this Contract, County may amend, suspend, decrease, or terminate its obligations under, or in connection with, this Contract. In the event of termination, County shall be liable for payment only for services rendered prior to the effective date of the termination, provided that such services are performed in accordance with the provisions of this Contract. County shall give written notice

of the effective date of any suspension, amendment, or termination under this Section, at least ten (10) days in advance.

5.0 DUTIES:

- 5.1 The Contractor shall perform all duties stated in the Agreed Scope of Work, attached hereto and incorporated herein as Exhibit "B", or as otherwise directed in writing by the Procurement Officer.
- 5.2 Contractor shall perform services as the location(s) and time(s) stated in Exhibit "B", or in the purchase order requesting such services.
- 5.3 During the Contract term, County shall provide Contractor's personnel with adequate workspace for consultants and such other related facilities as may be required by Contractor to carry out its contractual obligations.

6.0 TERMS and CONDITIONS:

6.1 INDEMNIFICATION:

- 6.1.1 To the fullest extent permitted by law, Contractor shall defend, indemnify, and hold harmless County, its agents, representatives, officers, directors, officials, and employees from and against all claims, damages, losses and expenses, including, but not limited to, attorney fees, court costs, expert witness fees, and the cost of appellate proceedings, relating to, arising out of, or alleged to have resulted from the negligent acts, errors, omissions, mistakes or malfeasance relating to the performance of this Contract. Contractor's duty to defend, indemnify and hold harmless County, its agents, representatives, officers, directors, officials, and employees shall arise in connection with any claim, damage, loss or expense that is caused by any negligent acts, errors, omissions or mistakes in the performance of this Contract by the Contractor, as well as any person or entity for whose acts, errors, omissions, mistakes or malfeasance Contractor may be legally liable.
- 6.1.2 The amount and type of insurance coverage requirements set forth herein will in no way be construed as limiting the scope of the indemnity in this paragraph.
- 6.1.3 The scope of this indemnification does not extend to the sole negligence of County.

6.2 INSURANCE REQUIREMENTS:

- 6.2.1 **Contractor, at Contractor's own expense, shall purchase and maintain the herein stipulated minimum insurance from a company or companies duly licensed by the State of Arizona and possessing a current A.M. Best, Inc. rating of B++. In lieu of State of Arizona licensing, the stipulated insurance may be purchased from a company or companies, which are authorized to do business in the State of Arizona, provided that said insurance companies meet the approval of County. The form of any insurance policies and forms must be acceptable to County.**
- 6.2.2 All insurance required herein shall be maintained in full force and effect until all work or service required to be performed under the terms of the Contract is satisfactorily completed and formally accepted. Failure to do so may, at the sole discretion of County, constitute a material breach of this Contract.
- 6.2.3 Contractor's insurance shall be primary insurance as respects County, and any insurance or self-insurance maintained by County shall not contribute to it.
- 6.2.4 Any failure to comply with the claim reporting provisions of the insurance policies or any breach of an insurance policy warranty shall not affect the County's right to coverage afforded under the insurance policies.

- 6.2.5 The insurance policies may provide coverage that contains deductibles or self-insured retentions. Such deductible and/or self-insured retentions shall not be applicable with respect to the coverage provided to County under such policies. Contractor shall be solely responsible for the deductible and/or self-insured retention and County, at its option, may require Contractor to secure payment of such deductibles or self-insured retentions by a surety bond or an irrevocable and unconditional letter of credit.
- 6.2.6 County reserves the right to request and to receive, within 10 working days, certified copies of any or all of the herein required insurance certificates. County shall not be obligated to review policies and/or endorsements or to advise Contractor of any deficiencies in such policies and endorsements, and such receipt shall not relieve Contractor from, or be deemed a waiver of County's right to insist on strict fulfillment of Contractor's obligations under this Contract.
- 6.2.7 The insurance policies required by this Contract, except Workers' Compensation shall name County, its agents, representatives, officers, directors, officials and employees as Additional Insureds.
- 6.2.8 The policies required hereunder, except Workers' Compensation shall contain a waiver of transfer of rights of recovery (subrogation) against County, its agents, representatives, officers, directors, officials and employees for any claims arising out of Contractor's work or service.
- 6.2.9 Commercial General Liability.

**Commercial General Liability insurance and, if necessary, Commercial Umbrella insurance with a limit of not less than \$2,000,000 for each occurrence, \$2,000,000 Products/Completed Operations Aggregate, and \$4,000,000 General Aggregate Limit. The policy shall include coverage for bodily injury, broad form property damage, personal injury, products and completed operations and blanket contractual coverage, and shall not contain any provision which would serve to limit third party action over claims. There shall be no endorsement or modification of the CGL limiting the scope of coverage for liability arising from explosion, collapse, or underground property damage.**

- 6.2.10 Automobile Liability.

**Commercial/Business Automobile Liability insurance and, if necessary, Commercial Umbrella insurance with a combined single limit for bodily injury and property damage of not less than \$2,000,000 each occurrence with respect to any of the Contractor's owned, hired, and non-owned vehicles assigned to or used in performance of the Contractor's work or services under this Contract.**

- 6.2.11 Workers' Compensation.

**6.2.11.1 Workers' Compensation insurance to cover obligations imposed by federal and state statutes having jurisdiction of Contractor's employees engaged in the performance of the work or services under this Contract; and Employer's Liability insurance of not less than \$1,000,000 for each accident, \$1,000,000 disease for each employee, and \$1,000,000 disease policy limit.**

6.2.11.2 Contractor waives all rights against County and its agents, officers, directors and employees for recovery of damages to the extent these damages are covered by the Workers' Compensation and Employer's Liability or commercial umbrella liability insurance obtained by Contractor pursuant to this Contract.

6.2.12 **Errors and Omissions Insurance:**

**Errors and Omissions insurance and, if necessary, Commercial Umbrella insurance, which will insure and provide coverage for errors or omissions of the Contractor, with limits of no less than \$1,000,000 for each claim.**

6.2.13 Certificates of Insurance.

**6.2.13.1 Prior to commencing work or services under this Contract, Contractor shall have insurance in effect as required by the Contract in the form provided by the County, issued by Contractor's insurer(s), as evidence that policies providing the required coverage, conditions and limits required by this Contract are in full force and effect. Such certificates shall be made available to the County upon ten (10) business days. BY SIGNING THE AGREEMENT PAGE THE CONTRACTOR AGREES TO THIS REQUIREMENT AND FAILURE TO MEET THIS REQUIREMENT WILL RESULT IN CANCELLATION OF CONTRACT.**

6.2.13.1.1 In the event any insurance policy (ies) required by this Contract is (are) written on a "claims made" basis, coverage shall extend for two (2) years past completion and acceptance of Contractor's work or services and as evidenced by annual Certificates of Insurance.

6.2.13.1.2 If a policy does expire during the life of the Contract, a renewal certificate must be sent to County fifteen (15) days prior to the expiration date.

6.2.14 Cancellation and Expiration Notice.

Insurance required herein shall not be permitted to expire, be canceled, or materially changed without thirty (30) days prior written notice to the County.

6.3 **PROCUREMENT CARD ORDERING CAPABILITY:**

The County may determine to use a MasterCard Procurement Card, to place and make payment for orders under the Contract.

6.4 **INTERNET ORDERING CAPABILITY:**

The County intends, at its option, to use the Internet to communicate and to place orders under this Contract.

6.5 **NOTICES:**

All notices given pursuant to the terms of this Contract shall be addressed to:

For County:

Maricopa County  
**Office of Procurement Services**  
Attn: Director of Purchasing  
320 West Lincoln Street  
Phoenix, Arizona 85003-2494

For Contractor:

Concepts For Change, Inc.  
Attn: Dr. Lynda K. Hemann  
5088 W. Glendale Ave  
Glendale, AZ 85301

6.6 REQUIREMENTS CONTRACT:

6.6.1 **Contractors signify their understanding and agreement by signing a bid submittal, that the Contract resulting from the bid will be a requirements contract. However, the Contract does not guarantee any purchases will be made. It only indicates that if purchases are made for the materials contained in the Contract, they will be purchased from the Contractor awarded that item. Orders will only be placed when the County identifies a need and proper authorization and documentation have been approved**

6.6.2 County reserves the right to cancel purchase orders or notice to proceed within a reasonable period of time after issuance. Should a purchase order or notice to proceed be canceled, the County agrees to reimburse the Contractor for actual and documented costs incurred by the Contractor. The County will not reimburse the Contractor for any avoidable costs incurred after receipt of cancellation, or for lost profits, or shipment of product or performance of services prior to issuance of a purchase order or notice to proceed.

6.6.3 Purchase orders will be cancelled in writing.

6.7 TERMINATION FOR CONVENIENCE:

The County reserves the right to terminate the Contract, in whole or in part at any time, when in the best interests of the County without penalty or recourse. Upon receipt of the written notice, the Contractor shall immediately stop all work, as directed in the notice, notify all subcontractors of the effective date of the termination and minimize all further costs to the County. In the event of termination under this paragraph, all documents, data and reports prepared by the Contractor under the Contract shall become the property of and be delivered to the County upon demand. The Contractor shall be entitled to receive just and equitable compensation for work in progress, work completed and materials accepted before the effective date of the termination.

6.8 TERMINATION FOR DEFAULT:

6.8.1 In addition to the rights reserved in the Contract, the County may terminate the Contract in whole or in part due to the failure of the Contractor to comply with any term or condition of the Contract, to acquire and maintain all required insurance policies, bonds, licenses and permits, or to make satisfactory progress in performing the Contract. The Procurement Officer shall provide written notice of the termination and the reasons for it to the Contractor.

6.8.2 Upon termination under this paragraph, all goods, materials, documents, data and reports prepared by the Contractor under the Contract shall become the property of and be delivered to the County on demand.

6.8.3 The County may, upon termination of this Contract, procure, on terms and in the manner that it deems appropriate, materials or services to replace those under this Contract. The Contractor shall be liable to the County for any excess costs incurred by the County in procuring materials or services in substitution for those due from the Contractor.

6.8.4 The Contractor shall continue to perform, in accordance with the requirements of the Contract, up to the date of termination, as directed in the termination notice.

**6.9 TERMINATION BY THE COUNTY:**

**If the Contractor should be adjudged bankrupt or should make a general assignment for the benefit of its creditors, or if a receiver should be appointed on account of its insolvency, the County may terminate the Contract. If the Contractor should persistently or repeatedly refuse or should fail, except in cases for which extension of time is provided, to provide enough properly skilled workers or proper materials, or persistently disregard laws and ordinances, or not proceed with work or otherwise be guilty of a substantial violation of any provision of this Contract, then the County may terminate the Contract. Prior to termination of the Contract, the County shall give the Contractor fifteen- (15) calendar day's written notice. Upon receipt of such termination notice, the Contractor shall be allowed fifteen (15) calendar days to cure such deficiencies.**

**6.10 STATUTORY RIGHT OF CANCELLATION FOR CONFLICT OF INTEREST:**

Notice is given that pursuant to A.R.S. §38-511 the County may cancel this Contract without penalty or further obligation within three years after execution of the contract, if any person significantly involved in initiating, negotiating, securing, drafting or creating the contract on behalf of the County is at any time while the Contract or any extension of the Contract is in effect, an employee or agent of any other party to the Contract in any capacity or consultant to any other party of the Contract with respect to the subject matter of the Contract. Additionally, pursuant to A.R.S §38-511 the County may recoup any fee or commission paid or due to any person significantly involved in initiating, negotiating, securing, drafting or creating the contract on behalf of the County from any other party to the contract arising as the result of the Contract.

**6.11 OFFSET FOR DAMAGES;**

In addition to all other remedies at law or equity, the County may offset from any money due to the Contractor any amounts Contractor owes to the County for damages resulting from breach or deficiencies in performance under this contract.

**6.12 ADDITIONS/DELETIONS OF SERVICE:**

The County reserves the right to add and/or delete products and/or services provided under this Contract. If a requirement is deleted, payment to the Contractor will be reduced proportionately to the amount of service reduced in accordance with the proposal price. If additional services and/or products are required from this Contract, prices for such additions will be negotiated between the Contractor and the County.

**6.13 RELATIONSHIPS:**

In the performance of the services described herein, the Contractor shall act solely as an independent contractor, and nothing herein or implied herein shall at any time be construed as to create the relationship of employer and employee, partnership, principal and agent, or joint venture between the District and the Contractor.

**6.14 SUBCONTRACTING:**

The Contractor may not assign this Contract or subcontract to another party for performance of the terms and conditions hereof without the written consent of the County, which shall not be unreasonably withheld. All correspondence authorizing subcontracting must reference the Proposal Serial Number and identify the job project.

**The Subcontractor's rate for the job shall not exceed that of the Prime Contractor's rate, as bid in the pricing section, unless the Prime Contractor is willing to absorb any higher rates. The Subcontractor's invoice shall be invoiced directly to the Prime Contractor, who in turn shall pass-through the costs to the County, without mark-up. A copy of the Subcontractor's invoice must accompany the Prime Contractor's invoice.**

6.15 AMENDMENTS:

All amendments to this Contract shall be in writing and approved/signed by both parties. Maricopa County **Office of Procurement Services** shall be responsible for approving all amendments for Maricopa County.

6.16 **ACCESS TO AND RETENTION OF RECORDS FOR THE PURPOSE OF AUDIT AND/OR OTHER REVIEW:**

6.16.1 **In accordance with section MCI 371 of the Maricopa County Procurement Code the Contractor agrees to retain all books, records, accounts, statements, reports, files, and other records and back-up documentation relevant to this Contract for six (6) years after final payment or until after the resolution of any audit questions which could be more than six (6) years, whichever is latest. The County, Federal or State auditors and any other persons duly authorized by the Department shall have full access to, and the right to examine, copy and make use of, any and all said materials.**

6.16.2 **If the Contractor's books, records , accounts, statements, reports, files, and other records and back-up documentation relevant to this Contract are not sufficient to support and document that requested services were provided, the Contractor shall reimburse Maricopa County for the services not so adequately supported and documented.**

6.16.3 **If at any time it is determined by the County that a cost for which payment has been made is a disallowed cost, the County shall notify the Contractor in writing of the disallowance. The course of action to address the disallowance shall be at sole discretion of the County, and may include either an adjustment to future claim submitted by the Contractor by the amount of the disallowance, or to require reimbursement forthwith of the disallowed amount by the Contractor by issuing a check payable to Maricopa County.**

6.17 **PUBLIC RECORDS:**

**All Offers submitted and opened are public records and must be retained by the Records Manager at the Office of Procurement Services. Offers shall be open to public inspection after Contract award and execution, except for such Offers deemed to be confidential by the Office of Procurement Services. If an Offeror believes that information in its Offer should remain confidential, it shall indicate as confidential, the specific information and submit a statement with its offer detailing the reasons that the information should not be disclosed. Such reasons shall include the specific harm or prejudice which may arise. The Records Manager of the Office of Procurement Services shall determine whether the identified information is confidential pursuant to the Maricopa County Procurement Code.**

6.18 AUDIT DISALLOWANCES:

If at any time, County determines that a cost for which payment has been made is a disallowed cost, such as overpayment, County shall notify the Contractor in writing of the disallowance. County shall also state the means of correction, which may be but shall not be limited to adjustment of any future claim submitted by the Contractor by the amount of the disallowance, or to require repayment of the disallowed amount by the Contractor.

6.19 ALTERNATIVE DISPUTE RESOLUTION:

6.19.1 After the exhaustion of the administrative remedies provided in the Maricopa County Procurement Code, any contract dispute in this matter is subject to compulsory arbitration. Provided the parties participate in the arbitration in good faith, such arbitration is not binding and the parties are entitled to pursue the matter in state or federal court sitting in Maricopa County for a de novo determination on the law and facts. If the parties cannot agree on an arbitrator, each party will designate an arbitrator and

those two arbitrators will agree on a third arbitrator. The three arbitrators will then serve as a panel to consider the arbitration. The parties will be equally responsible for the compensation for the arbitrator(s). The hearing, evidence, and procedure will be in accordance with Rule 74 of the Arizona Rules of Civil Procedure. Within ten (10) days of the completion of the hearing the arbitrator(s) shall:

6.19.1.1 Render a decision;

6.19.1.2 Notify the parties that the exhibits are available for retrieval; and

6.19.1.3 Notify the parties of the decision in writing (a letter to the parties or their counsel shall suffice).

6.19.2 Within ten (10) days of the notice of decision, either party may submit to the arbitrator(s) a proposed form of award or other final disposition, including any form of award for attorneys' fees and costs. Within five (5) days of receipt of the foregoing, the opposing party may file objections. Within ten (10) days of receipt of any objections, the arbitrator(s) shall pass upon the objections and prepare a signed award or other final disposition and mail copies to all parties or their counsel.

6.19.3 Any party which has appeared and participated in good faith in the arbitration proceedings may appeal from the award or other final disposition by filing an action in the state or federal court sitting in Maricopa County within twenty (20) days after date of the award or other final disposition. Unless such action is dismissed for failure to prosecute, such action will make the award or other final disposition of the arbitrator(s) a nullity.

6.20 SEVERABILITY:

The invalidity, in whole or in part, of any provision of this Contract shall not void or affect the validity of any other provision of this Contract.

6.21 RIGHTS IN DATA:

The County shall own have the use of all data and reports resulting from this Contract without additional cost or other restriction except as provided by law. Each party shall supply to the other party, upon request, any available information that is relevant to this Contract and to the performance hereunder.

6.22 INTEGRATION:

This Contract represents the entire and integrated agreement between the parties and supersedes all prior negotiations, proposals, communications, understandings, representations, or agreements, whether oral or written, express or implied.

6.23 VERIFICATION REGARDING COMPLIANCE WITH ARIZONA REVISED STATUTES §41-4401 AND FEDERAL IMMIGRATION LAWS AND REGULATIONS:

6.23.1 By entering into the Contract, the Contractor warrants compliance with the Immigration and Nationality Act (INA using e-verify) and all other federal immigration laws and regulations related to the immigration status of its employees and A.R.S. §23-214(A). The contractor shall obtain statements from its subcontractors certifying compliance and shall furnish the statements to the Procurement Officer upon request. These warranties shall remain in effect through the term of the Contract. The Contractor and its subcontractors shall also maintain Employment Eligibility Verification forms (I-9) as required by the Immigration Reform and Control Act of 1986, as amended from time to time, for all employees performing work under the Contract and verify employee compliance using the E-verify system and shall keep a record of the verification for the duration of the

employee's employment or at least three years, whichever is longer. I-9 forms are available for download at USCIS.GOV.

- 6.23.2 The County retains the legal right to inspect contractor and subcontractor employee documents performing work under this Contract to verify compliance with paragraph 6.23.1 of this Section. Contractor and subcontractor shall be given reasonable notice of the County's intent to inspect and shall make the documents available at the time and date specified. Should the County suspect or find that the Contractor or any of its subcontractors are not in compliance, the County will consider this a material breach of the contract and may pursue any and all remedies allowed by law, including, but not limited to: suspension of work, termination of the Contract for default, and suspension and/or debarment of the Contractor. All costs necessary to verify compliance are the responsibility of the Contractor.

~~6.24 VERIFICATION REGARDING COMPLIANCE WITH ARIZONA REVISED STATUTES §§35-391.06 AND 35-393.06 BUSINESS RELATIONS WITH SUDAN AND IRAN:~~

~~6.24.1 By entering into the Contract, the Contractor certifies it does not have scrutinized business operations in Sudan or Iran. The contractor shall obtain statements from its subcontractors certifying compliance and shall furnish the statements to the Procurement Officer upon request. These warranties shall remain in effect through the term of the Contract.~~

~~6.24.2 The County may request verification of compliance for any contractor or subcontractor performing work under the Contract. Should the County suspect or find that the Contractor or any of its subcontractors are not in compliance, the County may pursue any and all remedies allowed by law, including, but not limited to: suspension of work, termination of the Contract for default, and suspension and/or debarment of the Contractor. All costs necessary to verify compliance are the responsibility of the Contractor.~~

6.25 CONTRACTOR LICENSE REQUIREMENT:

6.25.1 The Respondent shall procure all permits, insurance, licenses and pay the charges and fees necessary and incidental to the lawful conduct of his/her business, and as necessary complete any required certification requirements, required by any and all governmental or non-governmental entities as mandated to maintain compliance with and in good standing for all permits and/or licenses. The Respondent shall keep fully informed of existing and future trade or industry requirements, Federal, State and Local laws, ordinances, and regulations which in any manner affect the fulfillment of a Contract and shall comply with the same. Contractor shall immediately notify both **Office of Procurement Services** and the using agency of any and all changes concerning permits, insurance or licenses.

6.25.2 Respondents furnishing finished products, materials or articles of merchandise that will require installation or attachment as part of the Contract, shall possess any licenses required. A Respondent is not relieved of its obligation to possess the required licenses by subcontracting of the labor portion of the Contract. Respondents are advised to contact the Arizona Registrar of Contractors, Chief of Licensing, at (602) 542-1525 to ascertain licensing requirements for a particular contract. Respondents shall identify which license(s), if any, the Registrar of Contractors requires for performance of the Contract.

6.26 CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

6.26.1 The undersigned (authorized official signing for the Contractor) certifies to the best of his or her knowledge and belief, that the Contractor, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

6.26.1.1 are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;

- 6.26.1.2 have not within 3-year period preceding this Contract been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- 6.26.1.3 are not presently indicted or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
- 6.26.1.4 have not within a 3-year period preceding this Contract had one or more public transaction (Federal, State or local) terminated for cause of default.

- 6.26.2 Should the Contractor not be able to provide this certification, an explanation as to why should be attached to the Contract.
- 6.26.3 The Contractor agrees to include, without modification, this clause in all lower tier covered transactions (i.e. transactions with subcontractors) and in all solicitations for lower tier covered transactions related to this Contract.

6.27 PRICES:

Contractor warrants that prices extended to County under this Contract are no higher than those paid by any other customer for these or similar services.

6.28 GOVERNING LAW:

This Contract shall be governed by the laws of the state of Arizona. Venue for any actions or lawsuits involving this Contract will be in Maricopa County Superior Court or in the United States District Court for the District of Arizona, sitting in Phoenix, Arizona

6.29 ORDER OF PRECEDENCE:

In the event of a conflict in the provisions of this Contract and Contractor's license agreement, if applicable, the terms of this Contract shall prevail.

6.30 INFLUENCE

**As prescribed in MC1-1202 of the Maricopa County Procurement Code, any effort to influence an employee or agent to breach the Maricopa County Ethical Code of Conduct or any ethical conduct, may be grounds for Disbarment or Suspension under MC1-902. An attempt to influence includes, but is not limited to:**

- 6.30.1 **A Person offering or providing a gratuity, gift, tip, present, donation, money, entertainment or educational passes or tickets, or any type valuable contribution or subsidy,**
- 6.30.2 **That is offered or given with the intent to influence a decision, obtain a contract, garner favorable treatment, or gain favorable consideration of any kind.**

**If a Person attempts to influence any employee or agent of Maricopa County, the Chief Procurement Officer, or his designee, reserves the right to seek any remedy provided by the Maricopa County Procurement Code, any remedy in equity or in the law, or any remedy provided by this contract.**

6.31 INCORPORATION OF DOCUMENTS:

The following are to be attached to and made part of this Contract:

- 6.31.1 Exhibit A, Pricing;
- 6.31.2 Exhibit B, Scope of Work;

IN WITNESS WHEREOF, this Contract is executed on the date set forth above.

CONTRACTOR

Lynda K. Hemann  
AUTHORIZED SIGNATURE

LYNDA K. HEMANN  
PRINTED NAME AND TITLE

5008 W Glendale Ave  
Glendale AZ 85301  
ADDRESS

27 AUG. 11  
DATE

MARICOPA COUNTY

[Signature]  
CHAIRMAN, BOARD OF SUPERVISORS

OCT 03 2011  
DATE

ATTESTED:

[Signature]  
CLERK OF THE BOARD 092811

OCT 03 2011  
DATE

APPROVED AS TO FORM:

[Signature]  
LEGAL COUNSEL

Sept 30 2011  
DATE

**EXHIBIT A**  
**PRICING**

SERIAL 11053-RFP  
 NIGP CODE: 95221  
 RESPONDENT'S NAME: Concepts For Change, Inc.  
 COUNTY VENDOR NUMBER : 2011000-5680  
 ADDRESS: 5008 W. Glendale Ave  
                   Glendale, AZ 85301  
 P.O. ADDRESS: \_\_\_\_\_  
 TELEPHONE NUMBER: (623) 363-3495  
 FACSIMILE NUMBER: \_\_\_\_\_  
 WEB SITE: \_\_\_\_\_  
 CONTACT (REPRESENTATIVE): Dr. Lynda K. Hemann  
 REPRESENTATIVE'S E-MAIL ADDRESS: [concepts123@aol.com](mailto:concepts123@aol.com)

	<u>YES</u>	<u>NO</u>	<u>REBATE</u>
WILL ALLOW OTHER GOVERNMENTAL ENTITIES TO PURCHASE FROM THIS CONTRACT	X	[ ]	
WILL ACCEPT PROCUREMENT CARD FOR PAYMENT:	X	[ ]	
WILL OFFER REBATE (CASH OR CREDIT) FOR UTILIZING PROCUREMENT CARD:	[ ]	X	_____ %

(Payment shall be made within 48 hours of utilizing the Purchasing Card)

RESPONDENT IS REQUIRED TO PICK ONE OF THE FOLLOWING PAYMENT TERMS.  
 FAILURE TO INDICATE PAYMENT TERMS WILL RESULT IN A DEFAULT TO NET 30 DAYS.  
 RESPONDENT MUST INITIAL THEIR SELECTION BELOW.

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> NET 10 DAYS            | <input type="checkbox"/> NET 45 DAYS            | <input type="checkbox"/> 1% 10 DAYS NET 30 DAYS |
| <input type="checkbox"/> NET 15 DAYS            | <input type="checkbox"/> NET 60 DAYS            | <input type="checkbox"/> 2% 30 DAYS NET 31 DAYS |
| <input type="checkbox"/> NET 20 DAYS            | <input type="checkbox"/> NET 90 DAYS            | <input type="checkbox"/> 1% 30 DAYS NET 31 DAYS |
| <input checked="" type="checkbox"/> NET 30 DAYS | <input type="checkbox"/> 2% 10 DAYS NET 30 DAYS | <input type="checkbox"/> 5% 30 DAYS NET 31 DAYS |

**1.0 PRICING:**

**1.1** Group rate/fee, per session, as defined herein, and in accordance with your proposal.  
 Respondents are reminded that group preparation, supplementary counseling, and documentation time are integral to the group rate/fee offered.

**FEE/RATE  
PER SESSION**

\$225.00

**Respondents are reminded that fees exceeding \$225.00 per group will not be considered for award.**

**EXHIBIT B**  
**SCOPE OF WORK**

2.0 SCOPE OF WORK

2.1 TECHNICAL REQUIREMENTS

2.1.1 **PARAMETERS**

2.1.1.1 Concepts For Change understands that clients shall be referred by the MCSO Custody Support Division staff

2.1.1.2 Concepts For Change will offer services to the adult male and adult female populations only.

2.1.1.3 Concepts for Change will facilitate weekly to semi-weekly sessions of anger management over a 48-week period of time to referred clientele. Group sessions are 120 minutes in length. All groups are facilitated through the use of a structured curriculum that contains a facilitator's guide and a client workbook. All materials are provided by Concepts For Change. Pre and post testing is conducted. Programming is gender specific and bilingual. It is understood that substitute facilitators are prohibited for the term of the contract.

2.1.2 **COUNSELING SERVICES**

2.1.2.1 Concepts For Change will facilitate a learning environment whereby clients are:

2.1.2.1.1 Provided an objective presentation of goals, objectives, and contrasting behavior and/or attitudes

2.1.2.1.2 Presented with behavioral and cognitive strategies to challenge current thinking and behaviors

2.1.2.1.3 Assisted in developing their own ambivalence and discrepancy regarding change factors

2.1.2.1.4 Taught skills to enhance self-efficacy necessary to make initial commitments to change, control behaviors, cognitive restructuring, seek and retain employment. Reference 3.14.3 and 3.14.4 for a full description of the program.

2.1.2.2 Concepts For Change will provide visual, auditory, and kinesthetic activities to provide an encouraging learning environment for all learning types

2.1.2.3 Concepts For Change will provide objective counseling and training services that allow inmates to identify personal resistances, barriers, and challenges to change

2.1.2.4 Concepts For Change will provide structured formatted groups to teach inmates how to redesign and implement new thinking patterns to meet the Custody Support Division goals of reducing recidivism, by teaching inmates how to control anger and stress, recover from chemical addiction, improve cognitive skills, discontinue antisocial behaviors including domestic violence, and make positive, responsible choices.

2.1.2.5 Concepts For Change will provide specific, individualized treatment plans that identify objectives to overcome barriers to change.

2.1.2.6 Concepts For Change will re-assess through activity that measures change in offender's amenability and/or commitment to the outpatient treatment process.

2.1.2.7 Concepts For Change will prepare discharge summaries with recommendations that are sent to MCSO ALPHA/Education Section staff and referral source for

continuing care when required.

2.1.2.8 Concepts For Change will ensure that each inmate receiving treatment accept responsibility for changing his/her behavior by learning how to identify and change attitudinal, physical, psychological, and intellectual factors that contribute to resistances and barriers to change.

2.1.2.9 Concepts For Change will include curriculum-based homework requirements that will be used in determining the inmate's progress.

### 2.1.3 **ADMINISTRATIVE SERVICES**

2.1.3.1 Concepts For Change will keep accurate attendance and notification of absences will be given to MCSO staff on the next working day after a missed session

2.1.3.2 Concepts For Change will submit monthly progress reports to MCSO staff documenting attendance, homework completion, attitude, progress in treatment, and future recommendations within agreed upon time parameters

2.1.3.3 Concepts For Change will complete and submit all requested statistical reports within agreed upon time parameters. Pre and post testing of cognitive domains will be conducted and analyzed for outcome evaluations.

2.1.3.4 Concepts For Change will notify appropriate MCSO Programs staff is an inmate is recommended for dismissal or discharge. This notification will occur prior to the termination unless infraction violates the safety and wellbeing of the offender or others. A written report will be submitted within 24 hours of dismissal to identified MCSO staff.

### 2.1.4 **PROGRAM PROPOSAL FOR ADULT MEN AND ADULT WOMEN**

The program Concepts For Change suggests emphasizes client empowerment, self-efficacy, and personal accountability for behavioral choices. This is accomplished through understanding the relationship between core beliefs, thoughts, feelings, and behavioral outcomes and involves active client change in cognitive distortions, problem-solving skills, coping skills, decision making skills, and considerate tolerance for the views of others. Each individual session is planned to be at least 120 minutes in duration. Besides intense scrutiny of personal anger patterns and an increase in the prosocial behaviors, knowledge and skills necessary to alter behavioral choices, additional outcomes anticipated include: 1) increase in self-esteem and 2) increase in self-efficacy. These would be assessed at entrance and exit from the program through the use of a psychometrically sound instrument. Outcome data would be provided to pertinent MCSO staff at the end of the contract year.

#### **CURRICULUM CONTENTS: OBJECTIVES AND LESSON PLANS FOR ADULTS**

WEEK ONE: *Week one of this curriculum is designed to examine the construct of anger. As such, the distinctions between the physiological explanation of anger as fear based, the process of labeling our feelings, thoughts and beliefs associated with feelings, and behavioral actions are identified. This involves teaching and demonstrating the relationship between core beliefs, thinking, success versus failure identities, and behavior as it relates to one's personal choices. The facilitator must establish, emphasize, and repeat the constant connections between core beliefs and distorted thinking with self-esteem, self-confidence, powerlessness, vulnerability, weakness, and inadequacy. Each participant will demonstrate familiarity with and use of Thought Reports and Franklin Reality Models as tools with which to change personal thoughts, beliefs, and behavior. It establishes the foundation for week two which is analysis of the roles of anger and its relationship to gender and depression.*

**DAY ONE: SO, WHAT'S THE REAL SCOOP BEHIND ANGER?**

Introductions – Each participant shares one example of abusive behavior without repeating what has previously been said

Complete Anger Management Pre-test

Presentation on the brain, human development, and identity

Experiential exercise demonstrating that thinking drives behavior

Interactive work defining anger, violence, and hostility

Interactive work designing a prototype of a typical inmate

Group exercise completing a Franklin on this prototype

Group exercise completing a Thought Report on this prototype

Relate to anger, hostility, and violence and chemical use through core beliefs

Homework – Complete Handout entitled “Fear”

Complete Handout entitled “Expressing Fear”

Materials Needed: Pre-test

Handouts: Fear

Expressing Fear

**DAY TWO: SO, WHAT EXACTLY IS ANGER? WHAT DOES IT LOOK LIKE?**

Review day one

Process handout on Six Universal/Greatest Fears

Process handout Be Careful

Group exercise processing homework on the handouts “Fear” and “Expressing Fear”

Presentation on what anger is physiologically and cognitively.

Discuss how and why one’s vocabulary to describe personal anger and other feelings is important and how lack of an adequate feelings vocabulary is related to aggression.

Interactive work designing an anger thermometer and using anger words

Homework – Complete one Franklin on the core belief “trust no one” and one thought report on the day they were arrested and taken to jail

Complete Handout entitled “Anger Words”

Complete Handout entitled “Beliefs about Anger”

Materials Needed: Handouts: Franklin Reality Model & Thought Report

Six Universal/Greatest Fears

Be Careful

Anger Words

Beliefs about Anger

*WEEK TWO: Week two of this curriculum is designed to teach and demonstrate application of the information presented in week one. Through the use of Thought Reports and Franklin Reality Models, the roles of anger will be analyzed with emphasis on its use as a defense against feelings such as inadequacy, weakness, fear, shame, and humiliation. Anger’s relationship with gender role norms will be examined. Repetition of the connections between core beliefs and distorted thinking with self-esteem, confidence, powerlessness, vulnerability, weakness, and inadequacy as it relates to anger, hostility, and violence must be made. Week two establishes the foundation for week three which concentrates on communication and interviewing skills.*

**DAY THREE: HOW IS ANGER RELATED TO POWER AND CONTROL?**

Review day two and process homework on anger words and beliefs about anger

Interactive work processing homework assignments

Have at least one participant present his/her Franklin Reality Model and at least one present his/her Thought Report Relate to day two’s material on the brain and identity

Presentation on forms of abuse

Interactive work with Distorted Thinking Styles handout

Relate to anger, hostility, and violence

Interactive work looking at different kinds of abuse

Relate to power and control  
Complete worksheet in class and process  
Relate to distorted thinking and core beliefs  
Homework – CMNI (Mahalik) and Aggression Questionnaire (Buss & Perry)  
Materials Needed: Handouts – Distorted Thinking Styles  
CMNI  
Aggression Questionnaire  
Self-Awareness: Identifying Abuse

DAY FOUR: WHAT MAKES A MAN A MAN AND A WOMAN A WOMAN?

Review day three  
Presentation and interactive work on gender role stereotyping  
Relate to anger, powerlessness, self-esteem, confidence, vulnerability, weakness, inadequacy, and depression  
Group exercise processing CMNI and Aggression Questionnaire  
Homework – Thought Report on Gender Roles Lecture  
Materials Needed: Handouts – The Gender Mystique

WEEK THREE: *Weeks three and four of this curriculum are designed to reinforce knowledge and skill integration experientially through facilitator modeling and demonstration of communication techniques, role plays for participant rehearsal and demonstration of knowledge and skill acquisition by the development of anger plans. Facilitators must take all opportunities to relate previously presented constructs (e.g., core beliefs, distorted thinking) to classroom demonstrations. Each participant must engage in at least one role plan. If participants tire of this, facilitators will alternate role plays with extemporaneous presentations in answer to the following question: “Speak to your greatest fear upon being released from jail.” Offer much positive reinforcement for individual efforts.*

DAY FIVE: COMMUNICATION TECHNIQUES

Review day four and process homework  
Presentation on communication techniques  
Describe use of “I Feel” statements  
Describe de-escalation techniques  
Interactive group work with facilitator demonstrations, role plays, and rehearsals  
Participant demonstrations with group feedback  
Homework – Handout “Defenses as a Mask”  
Materials Needed: Handout – Defenses as a Mask

DAY SIX: SO, WHAT ARE MY PERSONAL DEMONS?

Review day five  
Group work processing homework on “Defenses as a Mask”  
Presentation on the development of anger plans  
Stress that plans must be realistic and attainable  
Stress that plans must be specific  
Group work identifying anger situations and fears associated with them  
Interactive work on designing an anger plan from participant’s anger situations  
Review and collect all homework from day one to present  
Homework – Design four personal anger plans  
Materials Needed: Handouts – Anger Plan  
Planning Ahead

WEEK FOUR: *Week four of this curriculum finishes the work on knowledge and skill demonstrations. This last week is used to wrap-up any unfinished business for the participants regarding questions they might have or constructs of which they continue to have some confusion. Facilitators must make sure all participants have presented their four anger plans from situations they identified. edited and professionally typed resumes and that all have participated in at least one interview role play.*

DAY SEVEN: ANGER PLANS

Interactive work on anger plans

DAY EIGHT: ANGER PLANS

Interactive work on anger plans

Summary and Closure

Complete Anger Management Post Test

Handout Certificates of Completion

Materials Needed: Handouts – Anger Management Post Test

3.14.5 QUALIFICATIONS

Reference Section 2.1.5.1 through 2.1.5.5 of RFP

Concepts For Change, Inc. is an Arizona State licensed outpatient rehabilitation agency that has chosen to specialize in working with the substance disordered offender. The agency has provided continuous services to the substance disordered offender through contracts with Maricopa County Adult Probation, Arizona Department of Corrections Correctional Officer Offender Liaison Program, and felony DUI Court. They consistently received outstanding evaluations with yearly audits conducted by these entities.

As such, they have spent over fourteen years perfecting evidenced based techniques with this most challenging of populations. The success that Concepts For Change has continuously demonstrated with this population is based on their unique ability to develop and establish a genuine therapeutic relationship while integrating criminal justice principles. Concepts For Change is owned and clinically directed by an individual who holds a Ph.D in addiction psychology, conducted innovative research (currently in the process of publication) on 783 MCOS jail inmates, and has over 39 years' experience in the field of therapeutic program development for offenders. The agency prides itself on the employment of highly credentialed professionals who have also personally experienced incarceration; allowing them to fill a unique niche in assisting offenders. Each program facilitator models prosocial behaviors through his/her life choices and philosophies. Adherence to and valuing the social norms of the community is also modeled. Our curriculum driven programs all have a facilitator workbook, structured lesson plans with class objectives, identified topics and activities, designated time limits for each, and specific materials for group participants. All group participants are given a folder within which to keep program materials, homework, and topic modules provided by our agency. This agency is bi-lingual.

Facilitators possess the required credentialing and experience in education or counseling as well as in the delivery of anger management constructs, chemical dependency issues, self-esteem, communication techniques, stress management, and impulse control therapy. They are experienced in the facilitation of psycho-educational/didactic groups for criminal offenders in correctional facilities. Clinical supervision is provided by the former State of Nevada Director of Inmate Substance Abuse Programs who holds a Ph.D. in addiction psychology, is licensed in the State of Arizona, and has 39 years of experience.

2.1.1 MCSO SECURITY/BACKGROUND CLEARANCE

**Jail Facility Access Security Clearance – All Service Contractor's (includes subcontractors) entering Maricopa County Sheriff's Office (MCSO) jail facilities are required to complete a security clearance and/or background check by the MCSO. The background investigation may include a criminal records background check. The background check will be carried out by the MCSO at the County's expense.**

**All Contractors that work within/for the Custody Support Division shall have a badge provided to them upon successful completion of the background check. The badge must be displayed at all times in the jail facilities. The assessment by the MCSO, as to eligibility of the Contractor's employee, is final and is not appealable. Once a successful background is completed, each employee and the contracting company will be required to go through Volunteer Training,**

The Contractor shall be notified if their employee is approved to perform services at the MCSO facilities. Pursuant to state law, the information derived from the background check cannot be divulged to the contractor or any other unauthorized party. Final award of this contract may be contingent upon the Contractor's successful completion of the security clearance requirements.

Contractor personnel shall have entry to detention or district facilities at the discretion of the Sheriff or his designee for the duration of the contract.

**2.1.1.1 PRISON RAPE ELIMINATION ACT (PREA)**

“Under the Prison Rape Elimination Act you will be required to undergo a criminal background records check, which includes being fingerprinted prior to starting any work and are authorizing the Sheriff's Office to conduct this procedure.” See Exhibit 2.

All Contractor's shall be subject to a criminal background records check, which includes being fingerprinted, before the Contractor can be authorized to perform services for the Sheriff's Office, in accordance with the PREA Standards.

All Contractors, who are contracted for five (5) or more years, shall be subject to a criminal background records check, which includes being fingerprinted, at least, every five (5) years, in accordance with the PREA Standards.

**2.1.1.2 PREA TRAINING**

ALL Contractor's shall sign a Prison Rape Elimination Act (PREA) Acknowledgement Form, and ALL Contractor's will be required to attend mandatory PREA training each calendar year. Training will be administered by the Custody Support Division, prior to providing services to the Sheriff's Office.

CONCEPTS FOR CHANGE INC., 5008 W GLENDALE AVENUE, GLENDALE, AZ 85301

PRICING SHEET: NIGP CODE 95221

Vendor Number: 2011000568 0

Certificates of Insurance Required

Contract Period: To cover the period ending **September 30, 2014 2017.**